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New program to estimate layer thicknesses from CEMS spectra

Ferenc Nagy^{a,*}, Zoltán Klencsár^b

^a Chemical Research Center, Department of Nuclear Chemistry, Eötvös Loránd University, Pázmány Péter sétány 1/A, Budapest 1117, Hungary

^b Department of Applied Mathematics and Physics, University of Kaposvár, Guba Sándor u. 40, Kaposvár 7400, Hungary

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8 Abstract

A new program, named BEATRICE, has been developed for the quantitative estimation of layer thicknesses of single- and multilayers on the basis of their CEMS (conversion electron Mössbauer spectroscopy) spectra. The BEATRICE program is able to estimate the individual layer thicknesses of multilayers consisting of several homogeneous or mixed nanolayers. The program can also be applied for samples with composition varying continuously with depth, as well as for samples displaying columns of different layer structures. The capability of the program is demonstrated by deriving functional dependences between relative CEMS subspectrum areas and sublayer thicknesses in simple but practically important cases.

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19 1. Introduction

The emerging role of thin layers in diverse fields of physics, chemistry, electronics and other industries raises the need for quantitative characterization methods sensitive to the composition of thin (with thickness in the nm to μm range) surface layers of bulk materials. One of the methods that can provide valuable information on the composition details of thin surface layers is conversion electron Mössbauer spectroscopy (CEMS). The CEMS method is applicable to surfaces containing Mössbauer active elements such as ^{57}Fe and ^{119}Sn . The method can be used most advantageously in the case of the qualitative and quantitative analysis of iron containing surface layers (about 2.14% of all the iron nuclei is Mössbauer active ^{57}Fe in natural iron). However, while CEMS spectra can often immediately inform about the qualitative composition of a surface layer (i.e. about the different types of

phases and iron microenvironments), derivation of quantitative information (e.g. the concentration of different phases) requires further considerations assuming the knowledge of parameters (e.g. Mössbauer–Lamb factors characteristic of the different iron microenvironments, or the depth where the identified phases are situated in the surface) which are often not known with sufficient precision. Due to these difficulties, the application of CEMS is often restricted to the derivation of qualitative information only.

In this article we report about a newly developed computer program, named BEATRICE,¹ that is able to relate a high variety of surface structures and compositions to area ratios of subspectra expected in a CEMS spectrum taken from a surface layer with the corresponding structure and composition. By providing tools for adjusting continuously varying parameters of structure and composition (e.g. layer thicknesses and concentration parameters) to find the best

* Corresponding author. Tel.: +36 1 209 0593; fax: +36 1 209 0602.
E-mail address: nagyf@para.chem.elte.hu (F. Nagy).

¹ After Backward Estimation of Layer Thicknesses from Transmission Integrals of Conversion Electrons.

54 fit between the assumed quantitative properties of the sur-
 55 face layer and the experimentally observed CEMS spec-
 56 trum, the program can be used efficiently to derive
 57 quantitative information from CEMS spectra.

58 2. Conversion electron Mössbauer spectroscopy (CEMS)

59 Under Mössbauer effect one means the recoilless nuclear
 60 resonance absorption of nuclear gamma radiation. During
 61 nuclear resonance absorption of nuclear gamma radiation
 62 a certain kind of nucleus (e.g. ^{57}Fe), being in the ground
 63 state, absorbs a gamma quantum emitted by a same kind
 64 of nucleus being in an excited state. The occurrence of such
 65 resonance absorption can be monitored in several different
 66 ways. In a transmission Mössbauer measurement the num-
 67 ber of γ quanta *not* absorbed (i.e. transmitted) by the
 68 absorber is measured as a function of source velocity. In
 69 this case, at velocities where the condition of nuclear reso-
 70 nance absorption is fulfilled, a transmission minimum (i.e.
 71 an absorption peak) occurs. Other possibilities are the
 72 detection of secondary radiation emitted by the absorber
 73 as a result of the de-excitation of Mössbauer nuclei that
 74 were excited by the resonance absorption of γ quanta. This
 75 secondary radiation consists of reemitted γ quanta, conver-
 76 sion and Auger electrons, as well as characteristic X-rays.
 77 The detection of this secondary radiation in a Mössbauer
 78 experiment is called the scattering technique. In the field
 79 of surface layer studies, the most advantageous scattering
 80 technique is the one based on the detection of conversion
 81 electrons.

82 In conversion electron Mössbauer spectroscopy usually
 83 a proportional gas counter is used to detect conversion
 84 electrons back-scattered from the surface of the absorber
 85 sample (Fig. 1), and in contrast with the transmission tech-
 86 nique, in the Mössbauer spectrum one observes maximal
 87 count rates whenever the condition of resonance absorp-
 88 tion is fulfilled. As electrons originating deeper than a
 89 few 100 nm below the surface of the sample are very unli-
 90 kely to be able to leave the sample and get counted, conver-
 91 sion electron Mössbauer spectroscopy is especially well
 92 suited to investigate this few 100 nm thick surface of solids.

93 The CEMS technique has the inherent advantage that
 94 the process of de-excitation of Mössbauer nuclei in the
 95 sample results in a high yield of conversion electrons for
 96 most of the practically important Mössbauer transitions.
 97 This is because of the high conversion coefficients
 98 ($\alpha = N_c/N_\gamma$) characteristic of low energy Mössbauer transi-
 99 tions. In the case of the 14.41 keV Mössbauer transition of
 100 ^{57}Fe , for example, $\alpha = 8.21$ meaning that in 89% of the
 101 cases de-excitation of corresponding excited state ^{57}Fe
 102 nuclei happens via the emission of a conversion electron.

103 The CEMS method found applications in various areas
 104 of scientific and industrial research [1]. Determination of
 105 the thickness and composition of corrosion layers [2], char-
 106 acterization of thin layers used for the passivation of iron
 107 [3], investigation of the magnetic structure of magnetic
 108 multilayers [4], and the study of the effect of ion implanta-

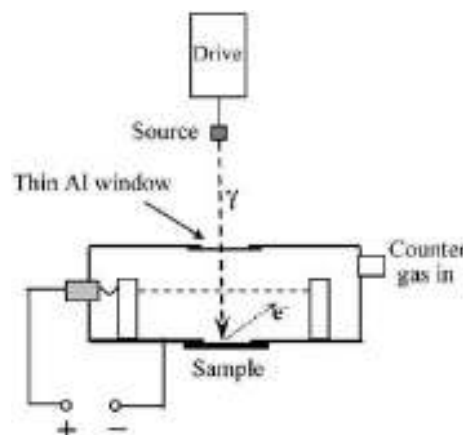


Fig. 1. Schematic representation of a conversion electron Mössbauer spectroscopy (CEMS) measurement. The γ quanta emitted by the source enter the volume of the proportional gas counter containing the counter gas (e.g. mixture of He + a few % CH_4) through a thin Al window. The sample is mounted on the detector such that its surface is directly in touch with the counting gas. Resonance absorption of γ quanta in the sample is followed by de-excitation of Mössbauer nuclei, as a result of which conversion- and corresponding Auger electrons enter the detector volume, and trigger an electronic impulse.

tion on the structure of surface layers [5–7] are a few char- 109
 110 characteristic examples.

3. Observing quantitative information from CEMS spectra 111

112 Although by CEMS it is relatively easy to gain informa- 112
 113 tion concerning the kind of phases being present in a sur- 113
 114 face layer, the determination of the depth and the 114
 115 concentration of a particular phase require the detailed 115
 116 consideration of the interaction of electrons with matter. 116
 117 To estimate the weight of contribution of a particular 117
 118 phase to the CEMS spectrum, one has to know the proba- 118
 119 bility of the event that electrons set free in that phase as a 119
 120 result of de-excitation of Mössbauer nuclei will reach the 120
 121 detector and gets counted. This probability will depend 121
 122 among others on the initial energy of the electron, on the 122
 123 depth of its origin, and on the kind of phases it has to pass 123
 124 through during its way to the top of the surface and the 124
 125 detector. For a certain surface layer, the function express- 125
 126 ing the dependence of this probability on the depth of the 126
 127 origin of electrons is called the *transmission function*. 127

128 A conversion electron originating during de-excitation 128
 129 of a ^{57}Fe nucleus in the investigated sample is usually not 129
 130 the only electron leaving the corresponding atom as a result 130
 131 of the nuclear de-excitation process; the emission of a 131
 132 K-shell conversion electron can be followed also by the 132
 133 emission of corresponding Auger electrons. The emission 133
 134 probabilities of conversion- and various types of Auger 134
 135 electrons per nuclear de-excitation process are summarized 135
 136 in Table 1 (for ^{57}Fe) [8] and in Table 2 (for ^{119}Sn) [1]. 136

137 Electrons traveling in different phases will experience a 137
 138 different rate of energy loss. The so-called *differential* 138
 139 *energy loss* of electrons, defined as the loss of electron 139

Table 1
Electron energies and conversion probabilities for ^{57}Fe [8]

Particle type	Origin	Probability per de-excitation	Initial energy
Electron	K conversion	$c_K = 0.80$	$E_K = 7.3$ keV
	L, M conversion	$c_L = 0.10$	$E_L = 13.6$ keV
	K Auger	$c_A = 0.53$	$E_A = 5.6$ keV

Table 2
Electron energies and conversion probabilities for ^{119}Sn [1]

Particle type	Origin	Probability per de-excitation	Initial energy
Electron	L conversion	$c_L = 0.84$	$E_L = 19.8$ keV
	L, N Auger	$c_A = 0.75$	$E_A = 2.8$ keV

kinetic energy on a path of unit length, is therefore a factor that strongly influences the magnitude and shape of the transmission function. First trials to calculate the differential energy loss function of electrons were carried out by Bethe [9]. Although electrons on their way to the surface are scattered (and may eventually even be stopped) via a series of elastic and inelastic collisions, and therefore lose their kinetic energy in several discrete amounts, the average loss of electron energy during this process can be approximated as a continuous energy loss. According to Joy and Luo [10] this energy loss can be written as

$$\frac{dE}{ds} = -78\,500 \frac{Z}{AE} \ln \left(\frac{1.166E}{J} \right), \quad (1)$$

where E is the kinetic energy of the electron, s refers to the length of its path, Z is the atomic number, A is the mass number and J is the ionization energy of atoms building up the material. The ionization energy J also depends on the atomic number of atoms, which dependence can be estimated by the empirical formula

$$J/\text{eV} = 9.76Z + \frac{58.5}{Z^{0.19}}, \quad (2)$$

where Z denotes the atomic number of the atom in question [11].

The derivation of the escape probability of electrons as a function of their depth of origin, initial kinetic energy and the atomic number characteristic of the material layer they have to pass was carried out by Liljequist. By using established empirical relationships, like Eqs. (1) and (2), he made extensive Monte Carlo simulations by modeling the fate of individual electrons originating with different initial kinetic energies and traveling through materials with different characteristic atomic number [8,12–19]. By counting the number of electrons which in the simulation could reach the detector, Liljequist established empirical relationships between the escape probability of electrons and parameters such as the initial electron energy, the depth of origin and the atomic number of atoms in the surface layer [20].

One of the main conclusions of Liljequist's work is the so-called *mass scaling rule*, which states that the dependence of the transmission function on the atomic number characteristic of the surface layer to a high degree can be accounted for if the transmission probability is expressed as a function of the D surface density (also called *mass thickness*) measured in mass/area units. According to Liljequist [20,21], the transmission probability decreases with the D mass thickness as

$$T_1(D/R(E_i, Z)) = \exp \left[-\frac{D}{R(E_i, Z)} - \left(\frac{D}{Q \cdot R(E_i, Z)} \right)^2 \right] \\ = \exp \left[-\xi \left(1 + \frac{\xi}{Q^2} \right) \right], \quad (3)$$

where $T_1(D/R(E_i, Z))$ is the probability that an electron born heading towards the top of the surface layer with initial kinetic energy E_i in a depth associated with mass thickness D , in a surface layer characterized by an atomic number Z will reach the top of the surface layer, $Q = 1.9$, $\xi = D/R(E_i, Z)$ and $R(E_i, Z)$ is a depth scale parameter (also called *Liljequist range*) which does not depend strongly on the atomic number, but it changes considerably with the electron's kinetic energy E_i . The dependence of $R(E_i, Z)$ on E_i and Z was also estimated on the basis of Monte Carlo simulations [20]:

$$R/\mu\text{g}/\text{cm}^2 = \exp \left[\ln A + B \ln E_i + C (\ln E_i)^2 \right], \\ A = 2.630 + 4.03 \times 10^{-2}Z + 2.06 \times 10^{-4}Z^2, \\ B = 1.660 - 1.63 \times 10^{-2}Z + 1.05 \times 10^{-4}Z^2, \\ C = 0.034 + 2.00 \times 10^{-3}Z - 1.32 \times 10^{-5}Z^2, \quad (4)$$

where E_i should be given in keV units.

Expression (3) is not the complete transmission function though, because it does not take into account that half of the electrons get born with a velocity that makes them to move away from the top of the layer surface initially. Still, such electrons may get scattered back towards the top of the surface (so-called *backscattering*), and therefore with a certain probability they will contribute to the number of escaped electrons. To obtain the correct expression for the transmission function T , T_1 must be multiplied by the factor $T_0(E_i, Z)$ that gives the transmission of those electrons that are born right on the surface of the sample (i.e. for which $\xi(E_i) = 0$) [20,21]:

$$T(D, E_i, Z) = T_0(E_i, Z) \cdot T_1(D/R(E_i, Z)) \quad (5)$$

with

$$T_0(E_i, Z) = 0.625 + 0.064 \ln(Z/4) + 4.0 \times 10^{-6}Z^2 \\ \times \ln(E_i/20 \text{ keV}). \quad (6)$$

By substituting Eq. (6) into Eq. (5), for K conversion electrons born on the surface of, e.g. an alpha iron layer ($D = 0$, $Z \approx 26$, $E_i \approx 7.3$ keV, see Table 1), one observes a transmission of

$$T(D = 0, E_i, Z) = T_0(E_i, Z) \approx 0.74. \quad (7)$$

The reason for not having obtained a transmission of unity for electrons born right on the surface of the sample is, certainly, that half of these electrons will have an initial propagation direction that points to the *inside* of the sample, and consequently they do not leave the sample surface immediately. The reason for not having obtained a transmission value of 0.5 is that a certain fraction of the latter electrons can still escape and get detected by being scattered back from the inside of the material. Detailed treatment of the backscattering process can be found, e.g. in [22,23].

For the case of a homogeneous alpha iron layer and initial electron energies encountered in ^{57}Fe CEMS measurements the dependence of the transmission function T on the D mass depth is displayed in Fig. 2. In accordance with expectations, with increasing D mass depth, among the detected electrons the share of electrons with higher initial energy will increase at the expense of the share of the lower energy electrons. As a result, in the case of ^{57}Fe CEMS, from depths with $D \gtrsim 160 \mu\text{g}/\text{cm}^2$ it will be almost exclusively the L, M conversion electrons (born with an initial energy of $\sim 13.6 \text{ keV}$) that will be detected.

3.1. Handling of chemical compounds and their mixtures

The formulas discussed until this point are valid only for layers consisting only of a single type of element, i.e. iron in the case of ^{57}Fe CEMS, and tin in the case of ^{119}Sn CEMS. In practice, however, layers consist of several different elements that form different chemical compounds, which latter may furthermore be present combined forming mixtures of compounds. As the structure and composition of such layers is usually not known with sufficient precision on the atomic level, it seems to be unfeasible to derive a

unique transmission function by Monte Carlo simulations for every layer encountered in practice. Thus, one has to find a way to estimate the transmission function for layers made of mixture of elements (which in the followings we will call as the ‘*compound transmission function*’) on the basis of the transmission functions of layers built only from a single type of element. As relative area fractions of subspectra in a CEMS spectrum are determined usually with a relative error of higher than 1%, and relative errors of 10% are also not uncommon, our aim is to estimate compound transmission functions with a relative precision of $\lesssim 5\%$.

To achieve this goal, one has to investigate the dependence of the T_0 initial transmission function in Eq. (6) and that of the T_1 transmission function in Eq. (3) on the Z atomic number. At this point it should be noted, that unlike in Eq. (5) that is valid only for layers consisting only of a single type of element, in the case of compound layers, the parameter Z in $T_0(E_i, Z)$ and that in $T_1(D/R(E_i, Z))$ may not be the same. Namely, while the parameter Z in $T_1(D/R(E_i, Z))$ refers to the atomic number of elements situated between the point of origin of electrons and the top of the layer, the parameter Z appearing in $T_0(E_i, Z)$ (which factor takes the effect of backscattering into account) refers to the atomic number of elements below (in the sense ‘farther away from the detector volume’) the point of origin of electrons (Fig. 3).

Fig. 4 shows the dependence of the initial transmission $T_0(E_i, Z)$ on the layer’s characteristic Z atomic number, for the E_i initial electron energies encountered in ^{57}Fe CEMS measurements. According to the figure, the initial transmission as a function of atomic number can be described nearly by the same curve for all the three different initial electron energies. The dependence of $T_0(E_i, Z)$ on Z is also rather mild; for atomic number values extending from $Z = 2$ to $Z = 80$ the value of initial transmission remains in the range 0.58–0.81. Even more importantly, $T_0(E_i, Z)$ is monotonously increasing as a function of Z . This suggests, that if we have a mixture of, e.g. two different elements (with atomic numbers $Z_1 < Z_2$) present in the

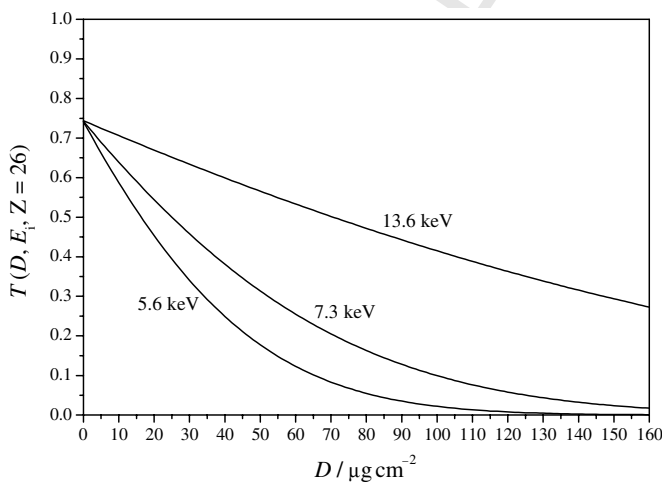


Fig. 2. The transmission function in Eq. (3) as a function of mass thickness D in the case of iron ($Z = 26$) for the initial electron energies encountered in ^{57}Fe CEMS measurements (see also Table 1). For pure α -iron $10 \mu\text{g}/\text{cm}^2$ mass thickness corresponds to a layer thickness of $\sim 12.7 \text{ nm}$.

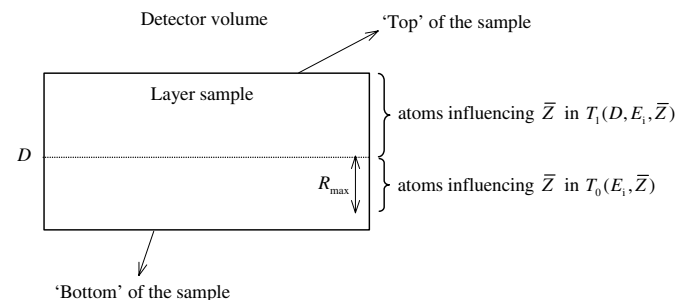


Fig. 3. At a certain D mass depth (denoted by dotted line) below the top of the surface of the investigated sample atoms influencing the $T_1(D/R(E_i, Z))$ transmission function in Eq. (3) are situated above the dotted line, while atoms that influence the $T_0(E_i, Z)$ initial transmission function (i.e. backscattering) are situated below the dotted line in a sublayer with a thickness of R_{max} , the maximum backscattering depth (see Eq. (11)).

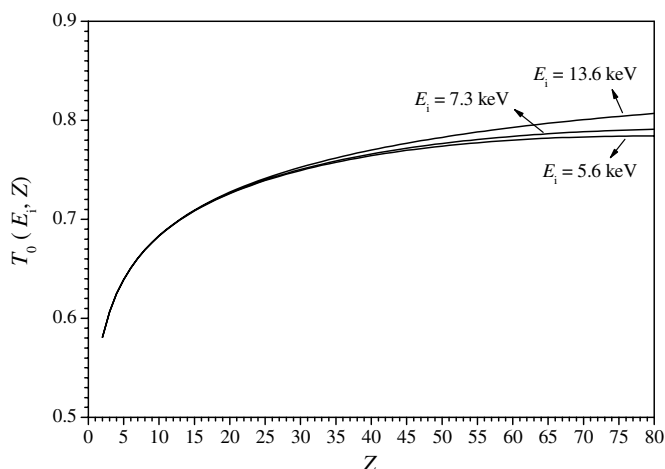


Fig. 4. The dependence of the initial transmission in Eq. (6) on the layer's characteristic Z atomic number, for the E_i initial electron energies encountered in ^{57}Fe CEMS measurements.

sample, then the value of the resulting 'compound initial transmission' will be between the initial transmission value representative for Z_1 and that representative for Z_2 , i.e.

$$T_0(E_i, Z_1) < T_{0c}(E_i, Z_1 \text{ and } Z_2) < T_0(E_i, Z_2) \quad (8)$$

if $Z_1 < Z_2$, where $T_{0c}(E_i, Z_1 \text{ and } Z_2)$ denotes the compound initial transmission. Also, one expects that with decreasing concentration of atoms with atomic number Z_1 , the compound initial transmission will tend to approach the initial transmission value representative for the element with atomic number Z_2 , and vice versa. This behavior suggests, that for compound samples we can define a certain kind of weighted average of atomic numbers, \bar{Z} , for which

$$T_{0c}(E_i, \text{mixture of different elements}) \approx T_0(E_i, \bar{Z}), \quad (9)$$

in this way reducing the problem of the calculation of the compound transmission function to the problem of finding a suitable averaging method of atomic numbers that works well in practice. It was found, that the averaging method when the value of \bar{Z} is calculated as an arithmetic average weighted by the w_i weight fractions of the atoms of different Z_i atomic numbers, i.e.

$$\bar{Z} = \sum_i w_i Z_i, \quad (10)$$

works well in practice [23], if as an exception among the elements hydrogen is taken into account with the 'effective' atomic number of $Z_{\text{eff}}(\text{H}) = -7.434$. The success of this averaging method means that for a given compound it is the heavier elements with the highest Z that predominantly determine the value of the initial transmission, though the presence of hydrogen can reduce the level of backscattering (see Fig. 4).

Before calculating \bar{Z} , one needs to determine the depth (below the point of origin of electrons) below which atoms should not be considered anymore when the average in Eq. (10) is calculated. According to Niedrig [22], this so-called 'maximum backscattering depth' (R_{max}) can be expressed as

$$R_{\text{max}} = \frac{4E_i^2}{c_T \rho m_e^2}, \quad (11)$$

where E_i is the initial kinetic energy of electrons, ρ denotes the density of the sample material, m_e stands for the mass of the electron and $c_T = 5.05 \times 10^{33} \text{ m}^6 \text{ kg}^{-1} \text{ s}^{-4}$ is Terrill's constant. For our purposes it is more convenient to express the 'maximum backscattering mass depth' ($B_{\text{max}} = \rho R_{\text{max}}$), which on the basis of Eq. (11) can be written as

$$B_{\text{max}} [\mu\text{g}/\text{cm}^2] \approx 2.45 \times (E_i/\text{keV})^2. \quad (12)$$

As shown in Fig. 5, for ^{57}Fe CEMS the maximum backscattering mass depth is highest for the 13.6 keV L, M conversion electrons, for which it takes on a value of $\sim 470 \mu\text{g}/\text{cm}^2$. For pure α -iron this value corresponds to a layer thickness of $\sim 600 \text{ nm}$. This means that for layers thinner than roughly this value the substrate material can also influence the backscattering process independent of the point of origin of the electrons, i.e. the same thin layer structure deposited on different substrates may result in different relative area ratios in the ^{57}Fe CEMS spectra, even if the substrates themselves do not contain any ^{57}Fe isotope. The effect of the substrate, however, becomes significant only for layers thinner than $\sim 250 \text{ nm}$ (see Fig. 2). Similar reasoning can be applied for the case of ^{119}Sn CEMS, where the maximum backscattering mass depth for the L conversion electrons is $\sim 960 \mu\text{g}/\text{cm}^2$ (Fig. 5), which is equivalent to a layer thickness of $\sim 1315 \text{ nm}$ for a pure tin layer.

Similarly to the initial transmission, in order to be able to predict relative CEMS spectrum areas as a function of layer structure, one also needs to give a useful estimate of the transmission function $T_1(D/R(E_i, Z)) \equiv T_1(D, E_i, Z)$ for compound materials. Following the same route of reasoning as before, we first investigate the dependence of $T_1(D, E_i, Z)$ on Z for different values of D and E_i . As

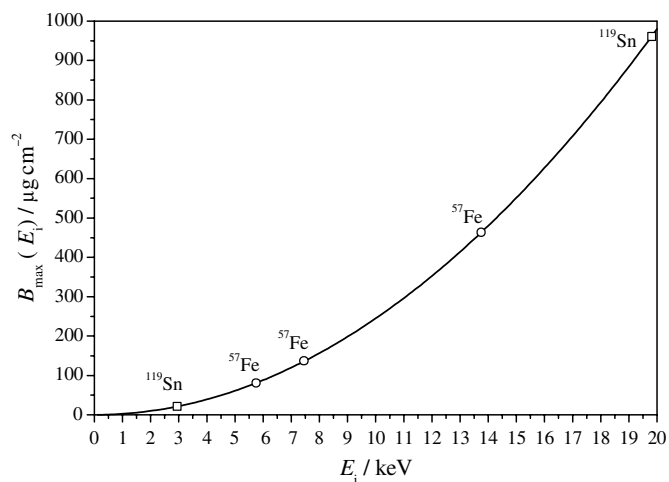


Fig. 5. The maximum backscattering mass depth, calculated according to Eq. (12), as a function of the electron's initial kinetic energy. Open circles and rectangles on the curve mark points with initial electron energy values encountered in ^{57}Fe and ^{119}Sn CEMS, respectively (see also Tables 1 and 2).

378 shown by Fig. 6, for E_i energies encountered in ^{57}Fe
 379 CEMS, in the practically important range of D mass depths
 380 $T_1(D, E_i, Z)$ has only a mild dependence on Z . Particularly,
 381 for $Z \leq 40$ the dependence of $T_1(D, E_i, Z)$ on Z is quite
 382 well approximated by a linear curve. But even if we extend
 383 the possible range of atomic numbers until $Z = 80$, and

estimate $T_1(D, E_i, Z)$ by a constant for any given D and
 E_i value (i.e. we dismiss the Z dependence of $T_1(D, E_i, Z)$
 altogether), the relative error we introduce into the end
 result by this approximation will still remain around or
 below $\sim 5\%$. (Note that although the deviation of
 $T_1(D, E_i, Z)$ from the linear behavior becomes more pro-
 nounced with increasing D , the contribution of layers in
 different D mass depths to the total electron transmission
 also decreases with D , which effect for higher D values
 diminishes the higher error introduced by our approxima-
 tion.) However, the linear dependence found for the case
 $Z \leq 40$ (which relation is expected to be satisfied for the
 majority of layers investigated in practice) suggests, that
 similarly to the case of the compound initial transmission
 T_{0c} in Eq. (9), we should take the Z dependence of
 $T_1(D, E_i, Z)$ into account by defining the ‘compound trans-
 mission function’ T_{1c} as

$$T_{1c}(D, E_i, \text{mixture of different elements}) \approx T_1(D, E_i, \bar{Z}), \quad (13)$$

where \bar{Z} can be defined similarly to that in Eq. (10), where
 in the average one should consider only the part of the
 layer that is situated between the top of the layer and the
 point where the electron is set free.

By examining the dependence of $T_1(D, E_i, Z)$ on Z for
 initial electron energies encountered in ^{119}Sn CEMS
 (Fig. 7) one can conclude that for Auger electrons
 ($E_i = 2.8$ keV) the dependence is very well approximated
 by a linear curve, and therefore the aforementioned estima-
 tion of the compound transmission function by the calcula-
 tion of an average atomic number may also work well in
 this case. The situation is different, however, in the case
 of the conversion electrons ($E_i = 19.8$ keV); for them the
 validity of linear approximation diminishes with increasing
 mass depth (see Fig. 7). For $D \gtrsim 200$ $\mu\text{g}/\text{cm}^2$ one may
 consider to calculate an average atomic number independ-
 ently for $Z \leq 50$ and $Z > 50$ elements, and estimate the
 T_{1c} compound transmission function as

$$T_{1c}(D, E_i, \text{mixture of different elements}) \approx \frac{T_1(D, E_i, \bar{Z}_{Z \leq 50}) + kT_1(D, E_i, \bar{Z}_{Z > 50})}{1 + k}, \quad (14)$$

where $\bar{Z}_{Z \leq 50}$ and $\bar{Z}_{Z > 50}$ denote the weighted average of
 atomic numbers for those elements in the sample, for which
 $Z \leq 50$ and $Z > 50$, respectively, and $k \geq 0$ is a weight fac-
 tor that takes into account the weight of elements with
 $Z > 50$ relative to that of elements with $Z \leq 50$.

From the compound functions T_{0c} and T_{1c} the electron
 transmission function can be calculated according to Eq.
 (5) as

$$T(D, E_i, Z^*) = T_{0c}(E_i, \text{mixture of different elements}) \cdot T_{1c}(D, E_i, \text{mixture of different elements}), \quad (15)$$

where the notation Z^* indicates that the dependence on Z is
 taken into account as described.

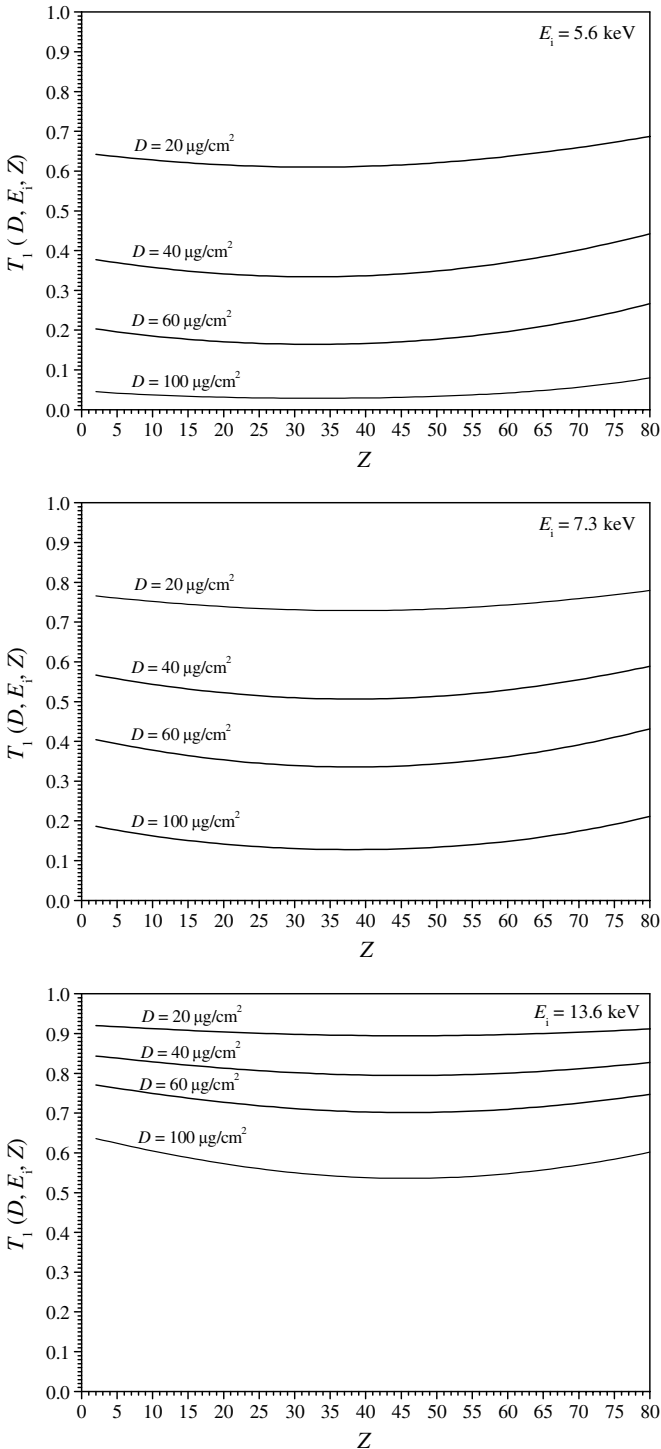


Fig. 6. The dependence of the transmission function T_1 in Eq. (3) on the layer's characteristic Z atomic number and on the mass depth where the electrons are born, for the E_i initial electron energies encountered in ^{57}Fe CEMS measurements.

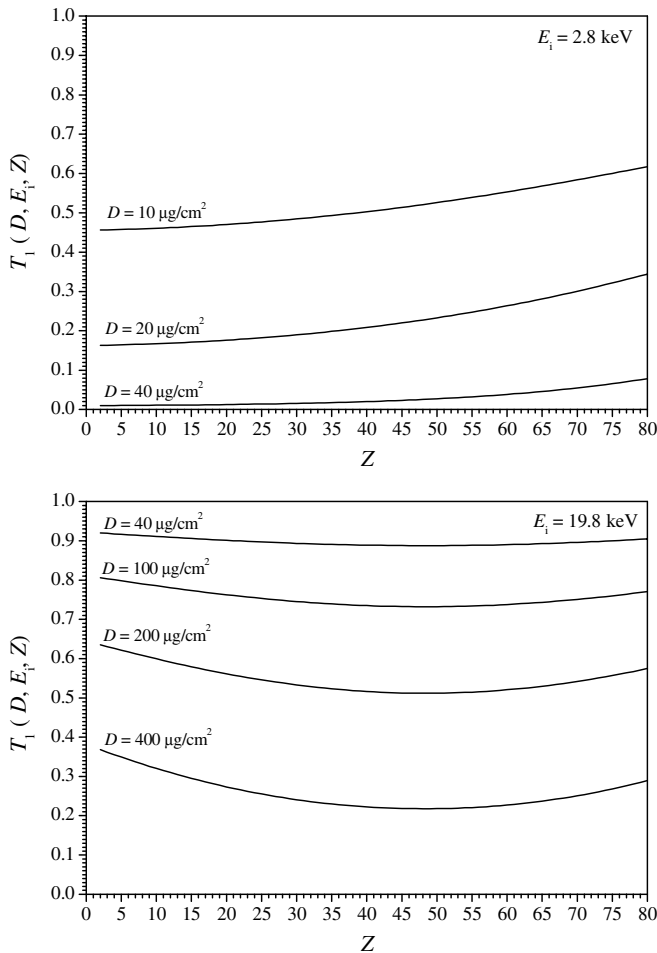


Fig. 7. The dependence of the transmission function T_1 in Eq. (3) on the layer's characteristic Z atomic number and on the mass depth where the electrons are born, for the E_i initial electron energies encountered in ^{119}Sn CEMS measurements.

435 Given that, according to the above, the dependence of
 436 the compound transmission function on the atomic num-
 437 ber of elements building up the layer under study is rela-
 438 tively mild, one may presume, that the contribution of a
 439 certain phase to the CEMS spectrum will be determined
 440 first of all by the position (mass depth, D) of the phase
 441 inside the layer according to the dependence of the trans-
 442 mission function on D (Fig. 2). Different kind of phases
 443 may, however, still give different contributions to the
 444 CEMS spectrum, even if they are situated exactly at the
 445 same position inside the layer.

446 One reason for this can be that phases containing the
 447 Mössbauer active element (e.g. ^{57}Fe or ^{119}Sn) in higher
 448 concentrations will certainly produce a higher number of
 449 conversion and Auger electrons, consequently they will
 450 give a higher contribution to the CEMS spectrum if com-
 451 pared to phases with lower concentrations of the Möss-
 452 bauer active element.

453 Another reason can be that different phases are usually
 454 characterized by different values of the so-called Möss-

bauer–Lamb factor, which latter is the probability of 455
 recoilless absorption of gamma rays by the Mössbauer 456
 active nucleus. A higher probability of recoilless absorption 457
 means a higher number of excited state Mössbauer nuclei, 458
 and consequently a higher number of conversion and 459
 Auger electrons originating during the de-excitation of 460
 these nuclei in the phase in question. Consequently, phases 461
 characterized by a higher Mössbauer–Lamb factor will 462
 have an increased contribution to the CEMS spectrum, if 463
 compared to the contribution of phases situated at the 464
 same position (same mass depth) in the layer but charac- 465
 terized by lower Mössbauer–Lamb factor values. It should be 466
 noted here, that if a phase contains the Mössbauer active 467
 element in two or more different microenvironments (as, 468
 e.g. in the case of Fe_3O_4 , where there are two different iron 469
 microenvironments), then all the different microenviron- 470
 ments may be characterized by different Mössbauer–Lamb 471
 factor values. For such phases an \bar{f} effective Mössbauer– 472
 Lamb factor may be defined as 473

$$\bar{f} = \frac{\sum_{i=1}^n k_i f_i}{\sum_{i=1}^n k_i}, \quad (16) \quad 475$$

where n is the number of different iron microenvironments 476
 in the phase, k_i is the number and f_i is the Mössbauer– 477
 Lamb factor of iron atoms situated in the i th type of 478
 microenvironment. 479

3.2. The effective transmission 480

In order to calculate the expected contribution of Möss- 481
 bauer active nuclei at a certain depth below the surface to 482
 the measured CEMS Mössbauer spectrum, one has to cal- 483
 culate the so-called effective transmission, which is the prob- 484
 ability of the event that following a nuclear de-excitation 485
 process a corresponding electron will be detected by the 486
 detector. The effective transmission (T_e) of the electrons 487
 is calculated from the contribution of all the electrons with 488
 different energies, by taking into account their respective 489
 probabilities for being emitted as a result of a nuclear de- 490
 excitation process (Table 1), as well as the electron detec- 491
 tion efficiency (ε) of the applied detector, which is here con- 492
 sidered to be independent of the energy of the detected 493
 electron. 494

The probability of detection of electrons set free by the 495
 primary conversion process is given by 496

$$P_c = \varepsilon T(D, E_{i,c}, Z^*), \quad (17) \quad 498$$

where $E_{i,c}$ denotes the initial energy of the primary conver- 499
 sion electron, and Z^* indicates that the dependence on Z 500
 should be taken into account as described in the previous 501
 section. 502

The primary (conversion) electron and the correspond- 503
 ing Auger electron(s) cannot be detected as separate events, 504
 because the finite, relatively long time duration of the 505
 detection process of the primary electron makes the detec- 506
 tor deaf to the corresponding secondary (Auger) electrons 507
 [24]. An Auger electron leaving the atom shortly after the 508

conversion process has taken place can therefore be detected only if the conversion electron was not detected, i.e. the probability of detection of an Auger electron is given by

$$P_c = c_A T(D, E_{i,A}, Z^*) [1 - \varepsilon T(D, E_{i,c}, Z^*)], \quad (18)$$

where c_A is the probability that the Auger electron leaves the atom, $E_{i,A}$ is the Auger electron's initial energy and $T(D, E_{i,A}, Z^*)$ is the probability that the Auger electron reaches the detector.

By considering the K conversion, K Auger and L, M conversion electrons (Table 1) in the case of ^{57}Fe one observes an effective transmission of

$$T_e(D, Z^*) = \varepsilon [c_K T(D, E_K, Z^*) + c_A T(D, E_A, Z^*) (1 - \varepsilon T(D, E_K, Z^*)) + c_L T(D, E_L, Z^*)], \quad (19)$$

where the notations used are the same as in Table 1.

For the case of ^{119}Sn similar considerations lead to the effective transmission function of

$$T_e(D, Z^*) = \varepsilon [c_L T(D, E_L, Z^*) + c_A T(D, E_A, Z^*) (1 - \varepsilon T(D, E_L, Z^*))], \quad (20)$$

where the notations used are the same as in Table 2.

Given that the effective transmission decreases with the D mass depth, phases situated deeper than a so-called *visible mass depth* (D_v) will only give negligible contribution to the CEMS spectrum in the sense that their contribution will be below the detection limit of the CEMS method. If a phase provides only $\lesssim 1\%$ of all the detected electrons, then in most cases its contribution will remain hidden in the corresponding CEMS spectrum by the statistical noise of the measurement. Although in general the visible mass depth will depend on the structure of the investigated layer, a useful estimate of D_v can be calculated by assuming a homogeneous sample. For a homogeneous sample the relative amount of electrons (w) contributing to the CEMS spectrum from depths deeper than D_v can be expressed as

$$w = \frac{\int_{D_v}^{\infty} T_e(D, Z) dD}{\int_0^{\infty} T_e(D, Z) dD}, \quad (21)$$

which equation enables one to estimate the D_v visible mass depth on the basis of the value of w . For an α -iron layer, with the choice of $w = 0.01$ one observes a visible mass depth of $D_v \approx 340 \mu\text{g}/\text{cm}^2$, which is equivalent to a visible depth of $\sim 432 \text{ nm}$. For a pure tin layer ($Z = 50$) for $w = 0.01$ one observes a visible mass depth of $D_v \approx 820 \mu\text{g}/\text{cm}^2$, which is equivalent to a visible depth of $\sim 1123 \text{ nm}$. The value of the ε electron detection efficiency of the applied detector does not influence considerably the value of the D_v visible mass depth calculated according to Eq. (21).

3.3. Handling of multilayer structures

In practice, thin layers consisting of different phases (e.g. different corrosion products or electrochemically deposited

layers) can often be satisfactorily modeled by a multilayer structure. In the multilayer the different phases are thought to form parallel sublayers as shown in case a, of Fig. 10. In the followings we aim to calculate the expected weight of contribution of the different phases of the multilayer to a corresponding CEMS spectrum.

Let us consider a sample consisting of N homogeneous layers made of different phases. Let the layers be numbered from layer $k = 1$ being on the surface to layer $k = N$ being the inner most Mössbauer active layer in the surface. In this case, the relative weight (S_k) by which layer k is expected to contribute to a CEMS spectrum can be expressed as

$$S_k = \frac{c_k f_k \int_{D_{k-1}}^{D_k} T_e(D, Z^*) dD}{\sum_{j=1}^N c_j f_j \int_{D_{j-1}}^{D_j} T_e(D, Z^*) dD} \quad (k = 1 \dots N), \quad (22)$$

where c_k is the concentration of the Mössbauer active nuclide (e.g. ^{57}Fe) in layer k , f_k denotes the effective Mössbauer–Lamb factor characteristic of the phase in layer k , and

$$\begin{aligned} D_0 &= 0, \\ D_k &= D_{k-1} + m_k, \end{aligned} \quad (23)$$

where m_k denotes the mass thickness of layer k . By using Eq. (22) the S_k values can be calculated for an arbitrary set of m_k mass thicknesses, c_k concentrations and f_k effective Mössbauer–Lamb factor values characteristic of the individual layers.

In practice, on the basis of the CEMS spectrum one usually can determine the type of phases that are present in the layer, which means that the concentrations and the corresponding effective Mössbauer–Lamb factors can be assumed to be known in the calculations. The order of the different sublayers is also often known (e.g. by studying the corrosion of an iron layer, one can often safely assume that corrosion products are at the top of the layer, and unaltered pure iron is situated at higher mass depths), or the possible number of different sublayer orders is small enough to make it feasible to try them out manually one by one, and select the one giving the best fit with the measured data. This means that in most cases it is only the D_k mass thicknesses of sublayers that are unknown and need to be determined by the help of fitting using an appropriate program code.

By comparing the S_k values – obtained according to Eq. (22) for a certain set of c_k concentrations and f_k effective Mössbauer–Lamb factor values – with the corresponding relative subspectrum areas of the experimentally measured CEMS spectrum, one can estimate the correct set of mass thicknesses by minimizing the squared differences between experimentally obtained and theoretically predicted relative subspectrum areas:

$$Y(m_1, m_2, \dots, m_N) = \sum_{k=1}^N (A_k - S_k)^2 \Rightarrow \min, \quad (24)$$

different corrosion products or electrochemically deposited

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617 where A_k denotes the relative area fraction of the subspec-
 618 trum originating from sublayer k in the CEMS spectrum of
 619 the surface layer in question. From the obtained set of m_k
 620 mass thickness values and the ρ_k densities of the sublayer
 621 materials, the d_k layer thicknesses can be determined for
 622 each layer one by one.

623 The minimization problem of Eq. (24) involves N
 624 unknown parameters (the m_k mass thicknesses) to be fitted.
 625 In cases, however, when the total iron content of the layer
 626 is known the number of fitted parameters can be reduced
 627 by one by considering that the mass thicknesses of the indi-
 628 vidual sublayers have to satisfy the equation

$$630 \sum_{k=1}^N m_k w_k = \frac{M_{\text{Fe}}}{F}, \quad (25)$$

631 where w_k is the weight fraction of iron in the k th layer, M_{Fe}
 632 is the total mass of iron in the layer, and F is the area of the
 633 layer's surface.

634 The considerations presented until this point form the
 635 basis of the BEATRICE program that is able to estimate the
 636 individual layer thicknesses of multilayers consisting of sev-
 637 eral homogeneous or mixed nanolayers. Apart from the
 638 determination of individual sublayer thicknesses by fitting
 639 according to Eq. (24), another possible way to use the pro-
 640 gram is to derive functional dependences between the
 641 expected CEMS relative subspectrum areas and the layer's
 642 characteristic physical parameters, e.g. sublayer thick-
 643 nesses. The curves derived in this way may be used in prac-
 644 tice to determine the physical parameters in question
 645 without the need for executing the fitting procedure of
 646 the program. The main advantage of the BEATRICE pro-
 647 gram is that it can handle a high variety of simple as well
 648 as complex practically important layer structures.

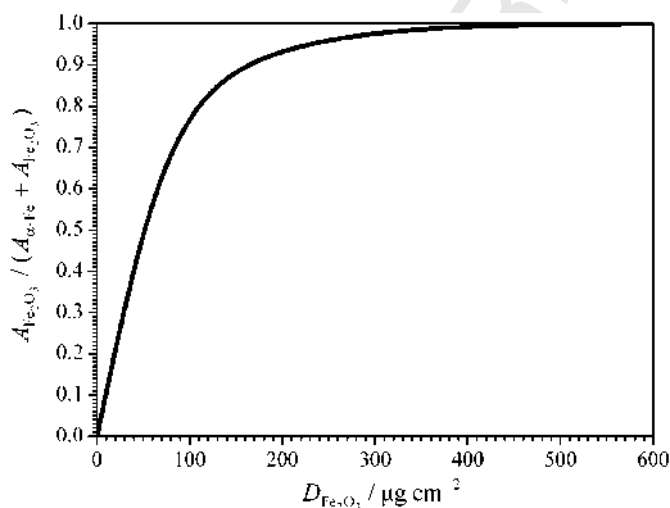


Fig. 8. The dependence of the relative CEMS spectral area of hematite on the mass thickness of hematite formed on the top of an infinitely thick (i.e. bulk) α -iron layer, as calculated by the BEATRICE program. $A_{\alpha\text{-Fe}}$ and $A_{\text{Fe}_2\text{O}_3}$ denote the CEMS spectral area belonging to α -Fe and hematite, respectively.

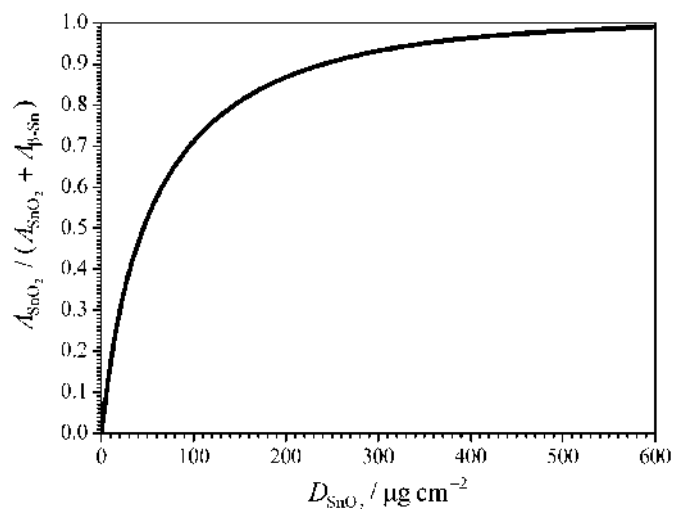


Fig. 9. The dependence of the relative CEMS spectral area of SnO_2 on the mass thickness of SnO_2 formed on the top of an infinitely thick (i.e. bulk) β -Sn layer, as calculated by the BEATRICE program. $A_{\beta\text{-Sn}}$ and A_{SnO_2} denote the CEMS spectral area belonging to β -Sn and SnO_2 , respectively.

In order to demonstrate the program's capabilities, we 649
 derived the functional dependence between the mass thick- 650
 ness of an Fe_2O_3 layer formed by corrosion on the top of a 651
 thick (e.g. bulk, i.e. from our point of view infinitely thick) 652
 iron layer and the corresponding relative subspectrum area 653
 expected in a CEMS spectrum (Fig. 8). The relative spec- 654
 tral area belonging to the Fe_2O_3 layer increases nearly 655
 linearly with the mass thickness of Fe_2O_3 from $D_{\text{Fe}_2\text{O}_3} =$ 656
 $0 \mu\text{g}/\text{cm}^2$ until $D_{\text{Fe}_2\text{O}_3} \approx 60 \mu\text{g}/\text{cm}^2$. In this range, an 657
 increase of $10 \mu\text{g}/\text{cm}^2$ in $D_{\text{Fe}_2\text{O}_3}$ results in an increase of 658
 ~ 0.1 in the relative spectral area of the Fe_2O_3 layer. The 659
 curve indicates that for above $D_{\text{Fe}_2\text{O}_3} \approx 200 \mu\text{g}/\text{cm}^2$ the 660
 mass thickness of the hematite layer can be determined 661
 only with considerable uncertainty. 662

A similar curve is obtained for the case of SnO_2 formed 663
 on the top of a bulk β -Sn layer, as shown in Fig. 9. 664

4. Details of the BEATRICE program 665

Given that the majority of CEMS measurements are 666
 carried out on iron containing samples, the BEATRICE pro- 667
 gram treats ^{57}Fe as the default Mössbauer isotope. At the 668
 same time, the program provides the user with the possibil- 669
 ity to override the default physical parameters in order to 670
 make the program handle Mössbauer isotopes other than 671
 ^{57}Fe . Among others the user can specify the probability 672
 of emission of K, L conversion electrons and Auger elec- 673
 trons per de-excitation, as well as their respective initial 674
 energies. One can furthermore set the electron pairs that 675
 hinder the detection of each other (see Section 3.2), in order 676
 to calculate the effective transmission analogously to Eqs. 677
 (19) and (20). 678

The program has a wired in set of physical parameters 679
 (atomic masses, molar volumes, Mössbauer–Lamb factors, 680
 etc.) concerning the most important iron compounds, 681

682 which set may be extended by the user for further com-
683 pounds as needed.

684 The different surface layer structures that can be han-
685 dled by the program can be summarized as follows.

686 A *simple set of sublayers* (case a, in Fig. 10) denotes lay-
687 ers that are built from sublayers that have ideally flat sur-
688 faces perpendicular to the main source – detector – carrier
689 axis. The individual sublayers can be defined to be chemi-
690 cally homogeneous or a mixture of several different com-
691 pounds. The order of sublayers from top to bottom of
692 the layer needs to be defined in advance. The parameters
693 to be determined by the program on the basis of the corre-
694 sponding relative CEMS subspectrum areas are the mass
695 thicknesses of the individual sublayers.

696 *Multicolumn layers* (see Fig. 11 and case d, in Fig. 10)
697 denote columns of different sequences of sublayers, which
698 structure aims to model samples where, for example, the
699 top most sublayer does not cover entirely the surface of

lower situated sublayers, which may happen e.g. when cor-
rosion of an iron containing surface layer takes place in
spots only. In such cases the underlying (not corroded) lay-
ers will display a contribution to the corresponding CEMS
spectrum that is increased compared to the case of a con-
tinuous top most corrosion sublayer, because outside the
spots electrons from the underlying layers will have an
increased probability for detection. In the *BETRICE* pro-
gram the case of multicolumn layers is reduced to a sum
of several different simple set of sublayers as shown in
Fig. 11. As this reduction is valid only if the diameter of
the spots (columns) can be considered to be higher than
the visible depth (otherwise the electrons could jump from
one column to another), the model of multicolumn layers
can be applied exclusively for such cases. The parameters
to be determined by the program on the basis of the corre-
sponding relative CEMS subspectrum areas in this case are
the relative area fractions of the different columns as well as
the mass thicknesses of the individual sublayers.

In order to be able to model effects like diffusion for
example, the program allows the definition of *depth depen-*
dent weight fractions of components within sublayers (case
b, in Fig. 10). The depth dependence can be defined by
selecting one of the built in “relative sublayer depth →
weight fraction” profiles. The program also allows the
modeling of periodic sequences of sublayer compositions
(case c, in Fig. 10).

The program can also handle user defined linear equal-
ity and inequality constraints among parameters (including
the setting of the upper and lower bound of the various
parameters).

Any user defined input data determining the model of
the layer can be collected in an ASCII file in a specific tab-
ular form, which file is then interpreted by the *BETRICE*
program before the corresponding calculations start, or
alternatively the data can also be typed in by the help of
the interactive command line input system the program
offers.

The *BETRICE* program was developed under MS Win-
dows XP OS by using Fortran programming language
and the programming environment Fortran Power Station
4.0. The program utilizes mathematical routines included
in the IMSL Fortran Library [25]. Further information
on the technical details of the *BETRICE* program can be
found on the WEB site http://www.chem.elte.hu/departments/magkem/nagyf/public_html/Angol/Beatrice.htm.

5. Conclusions

In order to calculate expected relative subspectrum areas
in CEMS spectra of thin surface layers, the newly devel-
oped program named *BETRICE* utilizes the electron trans-
mission and backscattering functions obtained earlier as a
result of Monte Carlo modeling of the fate of electrons
with different energies in materials with different atomic
numbers. By applying an appropriately chosen averaging
method of the atomic numbers, the program was prepared

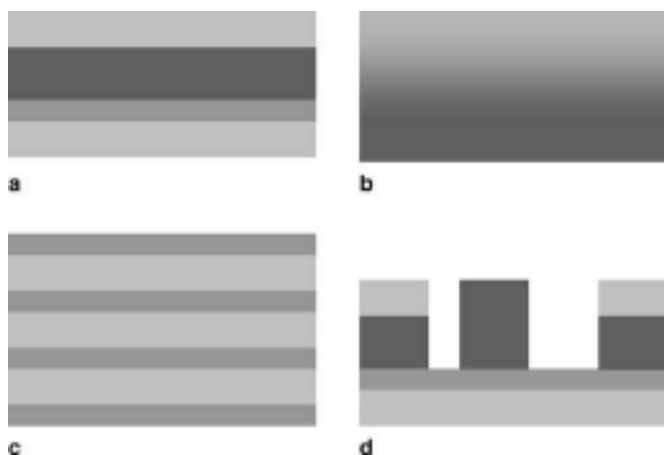


Fig. 10. Types of layer structures that can be handled by the *BETRICE* program: (a) simple set of sublayers; (b) layers with continuously varying composition; (c) periodic layer structures; (d) layers with multicolumn surface structure.

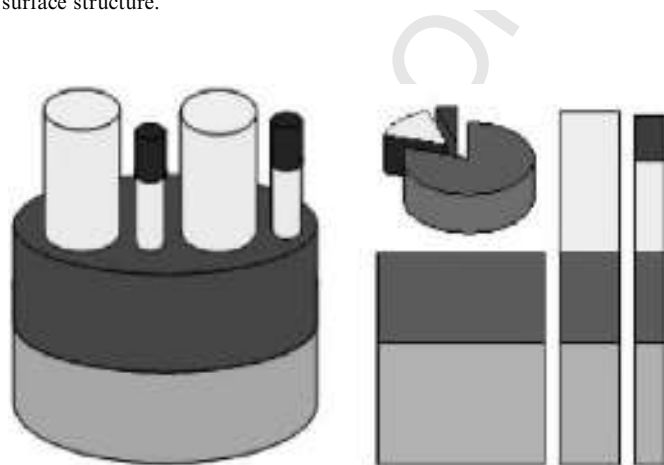


Fig. 11. Schematic representation of the way the *BETRICE* program handles multicolumn layer structures. The multicolumn surface structure displayed on the left side of the figure can be treated as the sum of three different layers; one with two, one with three and a third with four sublayers, as shown on the right hand side of the figure.

755 to handle layers made of compounds. To be able to model
 756 samples encountered in practice, the program was made
 757 ready to handle layers consisting of several different sublay-
 758 ers, layers with a columnar structure and layers with con-
 759 tinuously varying depth dependent composition. With the
 760 tools it offers the BEATRICE program promotes the deriva-
 761 tion of quantitative information from CEMS spectra
 762 recorded by the utilization of the Mössbauer effect of
 763 ^{57}Fe as well as other Mössbauer isotopes.

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